

OCALA BREEDERS' SALES COMPANY

P.O. Box 99 Ocala, FL 34478 Fed-Ex and Express Mail: 1701 SW 60th Ave. Ocala, FL 34474
(352) 237-2154 Fax: (352) 237-3566 E-mail: obs@obssales.com website: www.obssales.com

Dear Consignor:

September 3, 2023

A total of 676 horses are cataloged for the 2023 OBS October Yearling Sale plus supplemental entries. The sale will be held over two days, October 10-11. Catalogs are available on the OBS website at obssales.com and will be shipped from our printer beginning September 15th.

Sale Schedule

| | | |
|------------------------------|----------------------------------|-----------------------|
| Tuesday, October 10 | (1 – 338 + supplements) | 10:00 AM start |
| Wednesday, October 11 | (401 – 739 + supplements) | 10:00 AM start |

Supplemental entries will be accepted until September 26th. SUPPLEMENTAL ENTRIES WILL BE CATALOGED BASED ON THE ALPHABETICAL ORDER OF DAM'S NAME CORRESPONDING TO THE DAM ORDER FOR EACH SALE SESSION. Supplemental entries will appear in a separate catalog available on the sales grounds as well as on the OBS website and the equine line sales catalog app for the Ipad. Contact Lauren Marks for more information.

MEDICATION POLICY — PLEASE READ. VERY IMPORTANT

Please be sure to read the enclosed OBS Medication Policy. **THIS MEDICATION POLICY APPLIES TO ALL OBS SALES.**

Additionally, Please note that buyers have the option to request blood tests for prohibited medications and undisclosed medications at the time of purchase. Administration of ANY medications within 72 hours of a yearling's sale session must be reported on a medication form and signed by your veterinarian. Those forms are available in the front office and the Repository.

THE REPORTING OF MEDICATION IS FOR YOUR PROTECTION.

The Medication Policy may also be found on the website here: [OBS Medication Policy](#)

REPOSITORY

Phone (352) 237-5544 ♦ email: repository@obssales.com

Repository Hours for the October Sale:

| | |
|--|-----------------------|
| Friday, October 6 – Monday, October 9: | 8:00 AM - 4:00 PM |
| Tuesday, October 10 – Wednesday, October 11: | 8:00 AM - end of sale |
| Thursday, October 12: | 8:00 AM – noon |

RADIOGRAPHS MUST NOT BE TAKEN PRIOR TO WEDNESDAY, SEPTEMBER 20th.

The OBS Repository will offer consignors the option of including videos of endoscopic examinations with the radiographic study. Endoscopic videos recorded prior to **October 4th** will not be accepted. Placing an endoscopic video in the Repository **WILL NOT** affect the OBS Throat Warranty. Please refer to the attached AAEP "Protocol for Pre-Sale Video Endoscopic Examination of the Upper Airway at Public Auction". This protocol may also be found on the OBS website under the "Consignor Information" tab.

| Sale | Sale Date | Submitted by | Available to View | | |
|-------------|-------------------|---------------------|--------------------------|---------------|----------|
| Yearlings | selling Oct 10-11 | Friday, Oct 6 | 3:00 pm | Sunday, Oct 8 | 12:00 pm |

Walking Videos For The October Sale.

Consignors will be able to provide walking videos of all of their yearlings to be displayed on the OBS Sortable Master Index. **Consignors will be responsible for procuring their own videographers to produce and supply videos.** Consignors should keep in mind the following:

1. Sellers may provide OBS videos of yearlings at their own expense which we will include on the sortable master index in the OBS website.
2. OBS reserves the right to reject any video based on quality and its ability to enhance a horse's marketability.
3. For additional information please refer to [Walking Video Guidelines](#) link for videographer guidelines on naming and composition of walking videos.

Our desire is to have video footage of all horses by Friday, September 29th, and the videos posted on our website by September 30. Any horses that are coming from areas other than Ocala or Lexington that may present time/logistic issues with scheduling may be videoed when they arrive on the sales grounds.

PLEASE BE SURE TO ASSIGN YOUR DIGITAL JOCKEY CLUB CERTIFICATES TO OBS. IF YOU HAVE ANY QUESTIONS CONTACT SAM COOK IN THE OBS OFFICE IMMEDIATELY.

PLEASE PROVIDE PAPERWORK PROMPTLY. NOTIFY THE OBS OFFICE OF ANY PROBLEMS!! THE DEADLINE FOR ALL PAPERWORK TO AVOID A \$100 LATE FEE IS FRIDAY, SEPTEMBER 29th. PLEASE CONTACT YOUR BANK. THEY DO NOT SEND PAPERS AUTOMATICALLY. OVERNIGHT PACKAGES SHOULD BE SENT TO OUR STREET ADDRESS. ALL SELLING AGENTS MUST HAVE AN AUTHORIZED FORM ON FILE.

HORSE WITHOUT ALL REQUIRED PAPERWORK WILL NOT BE ALLOWED TO SELL.

IT IS IMPORTANT THAT OBS HAS THE FOLLOWING DOCUMENTS ON FILE:

- Digital Jockey Club Certificate Assigned to OBS
- Coggins (dated within 6 months of date of sale)
- EVA Test (dated within 90 days of date of sale)
- NEW: Vaccination records (See Below)**
- Authorized Agent Forms
- Signed Entry Contract

Vaccination Guidelines—PLEASE READ. VERY IMPORTANT

In light of recent changes in requirements for horses to gain access to race tracks, OBS will be requiring a new vaccination schedule for all horses selling at OBS. This new schedule will mirror the requirements in place at the tracks. Horses will be required to have the following vaccinations:

- Have been vaccinated for EEE/WEE/WNV, Rabies, and Tetanus within the prior 12 months
- Have been vaccinated against Rhinopneumonitis no less than 14 days and no greater than 90 days.

The vaccination form will ask for Name of the Vaccination, Lot # and Date of Vaccination. Please use the new form in this letter. It is also available on our website under "Consignor Information." There is also a fillable pdf that can be completed then printed for your veterinarian to sign, or can be filled out and signed by your veterinarian.

LINK: [EHV-1 Vaccination Forms](#)

PLEASE NOTE

Please identify all horses on Coggins and EVA tests by registered name and/or dam & foaling year, not by nickname or barn name.

Equine Piroplasmosis Testing

While an Equine Piroplasmosis test will **not** be required for the 2023 October sale, horses traveling from out of state should contact the Florida Department of Agriculture (850- 410-3800) for any additional documentation needed to enter the state of Florida.

Thoroughbred Aftercare Alliance

The Thoroughbred Aftercare Alliance (TAA) is an industry wide effort designed to serve as both the accrediting body for facilities that care for Thoroughbreds following their racing careers and a fundraising body to support these approved facilities. In order to achieve this goal, The TAA plans to develop sustainable funding from all points on the life cycle of the Thoroughbred from breeding, registration, sales, racing and all points in between, including veterinary care and transportation.

OBS has joined with the other major Thoroughbred sales companies in supporting the TAA. Beginning January 1, 2013, OBS, its buyers and consignors will contribute .05% (1/20th of 1 percent) of all sales unless a written request to opt out is submitted to OBS prior to the sale. This comes out to 5 cents for every \$100 in sales. On a \$100,000 sale each participant's contribution will be \$50 dollars. RNA's will not be included in contribution calculations.

REMINDER!

Carefully review catalog pages for accuracy, especially state-bred and stakes engagements. You, the consignor, are responsible and any errors could result in the return of the horse. Notify Lauren Marks of any corrections as soon as possible.

STABLING

COOPERATION AMONG CONSIGNORS IS APPRECIATED. Barn assignments are listed below. Stalls will be assigned at a later date. **Stall assignments for yearlings will be available Tuesday, October 3rd.** (if stalls are needed sooner please call the office to make arrangements.) **OBS WILL PROVIDE STRAW BEDDING. SHAVINGS ARE NOT PERMITTED.** Please do not use a stall or tack room without approval by OBS. Any stall not occupied by a sale horse may be reassigned. Contact Tracy Alderson for stabling information. The OBS feed store located at the stable gate will be open for feed, hay and supplies for your convenience from 7:30 AM to 5:30 PM weekdays, 7:30 AM to 4:30 PM Saturday, and 9:00 AM to 2:00 PM on Sunday, October 8.

Please be available at your barn two hours prior to the sale to expedite the process and provide assistance to our hip taggers. Please be reminded that if the buyer does not pick up the horse or make arrangements for its care, the consignor should insure the horse will be cared for. If you can no longer care for the horse, notify OBS so we may look after the horse. Do not remove the sales halter or hip tags from the horse. Halter tags may be picked up at the stable gate and returned there after the sale.

Please review the attached information sheets, and contact us for any assistance.

Sincerely,

Tod Wojciechowski
Director of Sales

STABLING INFORMATION

CONSIGNOR: _____

BARN: _____

*****STALLS WILL BE ASSIGNED PRIOR TO THE SALE*****

OBS OCTOBER 2023 SALES INFORMATION

STALLS: Do not use a stall or tack room without OBS approval. Stalls are assigned for sale horses only. Please cooperate with fellow consignors if tack rooms/stalls need to be shared.

MEDICATION, and PROHIBITED PRACTICES: See attached policy.

POST-SALE TESTS: Buyers may request testing for exogenous anabolic steroids, undisclosed medications and/or bisphosphonates at the time of purchase.

MEDICATION REPORTING: Medication reports must be submitted to OBS on a daily basis beginning 72 hours prior to a horse's under tack show and/or sales session and continuously through sale day. Medication may be administered according to state guidelines described below while also abiding by further restrictions imposed by OBS policy. These forms must be completed accurately and signed by your veterinarian. Reports must be submitted to the office or Repository **within 12 hours of treatment or 1 hour prior to the sale session or under tack show**, whichever is earlier. Medication reports for each horse will be in the Repository and available to prospective buyers upon written request. Copies of those requests are available to the consignor.

SUMMARY OF MEDICATION REGULATIONS (FLORIDA CHAPTER 535.08): No person shall administer to a thoroughbred horse offered at a licensed public sale any substance recognized as an injectable, oral, or topical medication within 72 hours of the start of the sales session (or under tack show) unless the person is a licensed veterinarian and the medication is therapeutic or necessary for the treatment or prevention of an illness or injury and is reported to the sales organization. A separate medication form signed by a veterinarian must be submitted individually for each horse treated. Copies of 535.08 are available at OBS.

REPOSITORY: THERE IS NO LONGER A BONE WARRANTY FOR ANY HORSE WHETHER OR NOT THERE ARE RADIOGRAPHS IN THE REPOSITORY. PLEASE REVIEW THE ENCLOSED RULES AND INFORMATION REGARDING THE REPOSITORY AND THE CHANGES TO THE OBS CONDITIONS OF SALE. The information may also be found on the OBS website.

VACCINATION RECORDS: OBS requires the vaccination records form signed by a veterinarian to be submitted.

EQUINE PIROPLASMOSIS TESTS: While an Equine Piroplasmosis test will **not** be required for the 2023 October sale, horses traveling from out of state should contact the Florida Department of Agriculture (850- 410-3800) for any additional documentation needed to enter the state of Florida.

CARE OF HORSE AFTER SALE: The consignor is responsible for the care of the horse until the buyer picks up the horse or for at least 48 hours after the sale. Notify OBS after that time so that we may look after the horse.

BEDDING: OBS WILL PROVIDE STRAW BEDDING. SHAVINGS ARE NOT PERMITTED

MUCK PICK - UP SCHEDULE: Muck wagons will be removed starting at 8:30 AM daily and returned at the end of the day.

SIGNS/STALL CARDS: Signs may not be hung in the shed row or by an entrance/exit of the barn. OBS will assist you in hanging signs from the barns. **OBS is not responsible for signs left after the sale.** Consignors are responsible for their own stall cards.

GATES: Please bring in your sales tack, feed, and equipment in early morning or after the sale. Trucks will not be allowed in the barn area after 9:00 AM each morning so consignors may show their horses

NO DOGS are allowed on the sale premises

OCALA BREEDERS' SALES COMPANY
Policy for Medication, Prohibited Practices
(Sections in red Updated March 2022)

Medication

All medication administered to a horse within 72 hours of an under tack show or beginning of a sales session must be reported the earlier of 12 hours after treatment or 1 hour **prior to an under tack show and/or sales session**. The reports must be signed by a Florida licensed veterinarian and submitted to the OBS main office or Repository. The reports must identify the medication and the amount, strength and mode of administration; the date and time of administration; and the diagnosis and/or reason for treatment. Medications may only be administered at or below manufacturers recommended dosages.

Controlled Therapeutic Medication Guidelines

The administration of nonsteroidal anti-inflammatory drugs (NSAIDs) must be in accordance with the Association of Racing Commissioners International (ARCI) schedule for controlled therapeutic medications ([ARCI controlled therapeutic medications schedule for horses-version 4.1 \(January 2019\)](#)) subject to the additional restrictions listed below. **PHENYLBUTAZONE (BUTE), FLUNIXIN (BANAMINE) AND KETOPROFEN (KETOGEN) ARE THE ONLY ALLOWABLE NSAIDs. ALL OTHER NSAIDs ARE NOT ALLOWED (Category 3 Penalty- subject to Fines & Suspension). No medication may be administered 24 hours prior to a horse's under tack show performance.**

Additional restrictions/clarifications:

- 1) **ONLY ONE (1) OF THE THREE ALLOWABLE NSAIDs (BUTE, BANAMINE, KETOGEN) MAY BE PRESENT IN A TEST SAMPLE--STACKING OF NSAIDs IS PROHIBITED. NO NSAID MAY BE ADMINISTERED WITHIN 24 HOURS OF A HORSE'S SALE SESSION. (Category 3 Penalty- subject to Fines & Suspension)**

Summary of RCI recommended withdrawal times for administration of NSAIDs

Flunixin (Banamine)

Suggested withdrawal: 32 hours

- Single intravenous dose of flunixin as Banamine (flunixin meglumine) at 1.1 milligram per kilogram
- 20 nanogram per milliliter of plasma or serum

Ketoprofen (Ketofen, Orudis)

Suggested withdrawal: 24 hours

- Single intravenous dose of ketoprofen as Ketofen at 2.2 milligrams per kilogram
- 2 nanograms per milliliter of plasma or serum

Phenylbutazone (Bute)

Suggested withdrawal: 24 hours

- Single intravenous dose of phenylbutazone at 4.0 milligrams per kilogram
- 2 micrograms per milliliter of plasma or serum

- 2) BRONCHODILATORS (Clenbuterol, Albuterol, and all other bronchodilators) ARE PROHIBITED SUBSTANCES and may NOT be present in a test sample. (Category 1 Penalty- subject to Return, Fines & Suspension)
- 3) No more than one (1) corticosteroid may be present in a test sample. **NO CORTICOSTEROID MAY BE ADMINISTERED WITHIN 24 HOURS OF A HORSE'S SALE SESSION (Category 3 Penalty- subject to Fines & Suspension)**

PLEASE NOTE:

- a) Many throat wash medications contain corticosteroids. Consult your veterinarian when creating a treatment plan.
 - b) Depo Medrol remains in a horse's system longer than many other medications. A positive test may occur from treatments prior to arriving on the salesgrounds.
- 4) Intra-articular injections are prohibited on the sales grounds. (Category 2 Penalty- subject to Return, Fines & Suspension)
 - 5) The administration of anabolic androgenic steroids at any time to weanlings, yearlings, 2-year-olds and horses of racing age is prohibited and may **NOT** be present in a test sample. (Category 1 Penalty- subject to Return, Fines & Suspension)
 - 6) The administration of bisphosphonates at any time to any horse under four years old is prohibited and may **NOT** be present in a test sample. (Category 1 Penalty- subject to Return, Fines & Suspension)
 - 7) The following medications may **NOT** be administered on the sales grounds or present in a test sample (Category 1 Penalty- subject to Return, Fines & Suspension):
 - a) All substances classified as either Class 1 or Class 2 by the Association of Racing Commissioners International (ARCI).
 - b) Furosemide (Salix) (except for horses that have raced on Furosemide)
 - c) Procaine Penicillin
 - d) **Medroxyprogesterone**
 - e) **Antihistamines**
 - f) **Guanabenz**
 - g) **Drugs or medications for which no acceptable threshold concentration has been established by the ARCI.**

Please note: Fluphenazine (Prolixin), Reserpine (Serpasil), Carisoprodol (Soma, Rela) are class 2 medications. Many herbal supplements contain prohibited substances including bronchodilators and stimulants.

Please review these medication policies with your veterinarian to assure compliance.

Reference Materials

Please use the reference materials listed below as a resource when working with your veterinarian on any treatment plan. These materials may also be found on the OBS website under the Consignor Information tab:

ARCI Uniform Classification Guidelines: [ARCI Uniform Classification Guideline for foreign substances version 14.0 \(January 2019\)](#)

Controlled Therapeutic Medication: [ARCI controlled therapeutic medications schedule for horses-version 4.1 \(January 2019\)](#)

Florida Statute 535.08: [FL Statute 535.08.pdf](#)

These are also available at the OBS office and accessible on the OBS website under consignor information.

Testing

- 1) Post-breeze testing will be performed on horses selected at the sole discretion of OBS.
- 2) Buyers may request testing for anabolic androgenic steroids, bisphosphonates and undisclosed medication within 24 hours of purchase and prior to the horse leaving the sales grounds. **The request for all of these tests will be available to the buyer at time of purchase by checking the appropriate box on the Buyers' Acknowledgment.**
- 3) Test results will be submitted to the Department of Agriculture as required. The consignor is subject to penalties described in Florida Statute Chapter 535.08 including being charged with a misdemeanor and barred from selling for a period of 2 years.

Prohibited Practices

Horses that have been subject to any of the following prohibited practices shall be subject to return or withdrawal:

- 1) **Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy** while on the sales grounds
- 2) **Acupuncture and/or Electro-Stimulation** with the intent of altering laryngeal function while on the sales grounds
- 3) **Electrical devices** designed or used to increase the speed of a horse. Anyone in possession of a prohibited electrical device will be banned from the OBS sales grounds.
- 4) Any **invasive practice** while on the sales grounds which intentionally conceals a material defect or chronic lameness.
- 5) **Internal Blister or other injections** to the knee intended to have the effect of concealing the true conformation of the horse is not permitted at any time.

Medication and Prohibited Practices Penalties

1. Category 1 & 2 - Subject to Return, Fines, & Suspension

A. If a positive test result is received and a confirmation test is not requested or is confirmed by a laboratory selected by OBS for the administration of:

- I. Any anabolic androgenic steroids
- II. Undisclosed medication administered within 72 hours of sale or under tack show
- III. An ARCI class 1 or 2 medication, Furosemide (Salix) (except for horses that have raced on Furosemide)
- IV. procaine penicillin
- V. Any bronchodilator
- VI. Any bisphosphonate to any horse under four years old
- VII. **Medroxyprogesterone**
- VIII. **Antihistamines**
- IX. **Guanabenz**
- X. **Drugs or medications for which no acceptable threshold concentration has been established by the ARCI.**

The purchaser shall have the right to rescind the sale and the consignor shall refund any sales proceeds paid to consignor by OBS and pay the purchaser or OBS all proper expenses and legal fees incurred by either of them from the time of the sale until the return of the horse to the consignor or consignor's owner.

If the horse did not go through the auction ring, the horse shall be withdrawn from the sale and shall be removed from the sales grounds immediately. The consignor shall be responsible for OBS fees, penalties, and expenses.

If the horse went through the auction ring and was not sold, the horse shall be removed from the sales grounds immediately. The consignor shall be responsible for OBS fees, penalties, and expenses.

B. Horses that have been subject to any of the following prohibited practices shall be subject to return or withdrawal and the consignor shall be responsible for expenses incurred by the purchaser and for OBS fees, penalties, and expenses:

- I. Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy while on the sales grounds
- II. Acupuncture and/or Electro-Stimulation with the intent of altering laryngeal function while on the sales grounds
- III. Electrical devices designed or used to increase the speed of a horse. Anyone in possession of a prohibited electrical device will be banned from the OBS sales grounds.
- IV. Any invasive practice while on the sales grounds which intentionally conceals a material defect or chronic lameness
- V. Internal Blister or other injections to the knee intended to have the effect of concealing the true conformation of the horse is not permitted at any time.

C. In addition to the potential Return or Withdrawal of a horse, consignor will be subject to the penalties described in section three below.

2. Category 3 - Subject to Fines-Suspension

A. The consignor will be subject to the penalties in section three (3) if a positive test result is received and a split sample test is not requested or is confirmed by a laboratory selected at the sole discretion of OBS which indicates the administration of:

- I. Any medication within 24 hours of the horse's under tack show performance
- II. More than one (1) corticosteroid
- III. **More than one (1) of the allowable (Bute, Banamine, Ketofen) non-steroidal anti-inflammatory drugs (NSAIDs)**
- IV. **Approved allowable Therapeutic Medications at levels above the threshold indicated in the ARCI controlled therapeutic medications schedule for horses-version 4.1 (January 2019)**
- V. **Use of an NSAID other than Phenylbutazone (Bute), Flunixin (Banamine) or Ketoprofen (Ketofen)**

3. Fines-Suspension for Category 1, 2, & 3

A. The consignor will be subject to the following penalties for positive test results or prohibited practices:

- I. 1st offense - Warning with a maximum fine of **\$2,000**; possible increased testing of consignors' horses at consignor expense.
- II. 2nd offense - Warning with a maximum fine of **\$3,000**; increased testing of consignors' horses at consignor expense; possible suspension of consignor for up to 1 year to be determined by the OBS Board of Directors absent mitigating circumstances.
- III. 3rd offense - Fine of **\$1,000 to \$5,000** absent mitigating circumstances; increased testing of consignors' horses at consignor expense; possible suspension of consignor for up to 2 years to be determined by the OBS Board of Directors absent mitigating circumstances.

IV. Any positive test for a medication or practice in Category 1 or 2 may result in additional fines and penalties and **incur a suspension of not less than 2 years to be determined by the OBS Board of Directors, absent mitigating circumstances.**

B. Funds collected from fines levied will be distributed to a thoroughbred industry related charity at the sole discretion of OBS. Fines will be deducted from proceeds when available. Failure to pay fines may result in suspension from participating in OBS Sales.

OBS Repository Rules and Information

352-237-5544

The Repository is located at the end of Barn 5 near the maintenance office

Repository Hours for the October Sale:

| | |
|--|-----------------------|
| Friday, October 6 – Monday, October 9: | 8:00 AM - 4:00 PM |
| Tuesday, October 10 – Wednesday, October 11: | 8:00 AM - end of sale |
| Thursday, October 12: | 8:00 AM – noon |

RADIOGRAPHS MUST NOT BE TAKEN PRIOR TO WEDNESDAY, SEPTEMBER 20th.

The OBS Repository will offer consignors the option of including videos of endoscopic examinations with the radiographic study. Endoscopic videos recorded prior to **October 4th** will not be accepted. Placing an endoscopic video in the Repository **WILL NOT** affect the OBS Throat Warranty. Please refer to the attached AAEP “Protocol for Pre-Sale Video Endoscopic Examination of the Upper Airway at Public Auction”. This protocol may also be found on the OBS website under the “Consignor Information” tab.

| <u>Sale</u> | <u>Sale Date</u> | <u>Submitted by</u> | <u>Available to View</u> | | |
|-------------|-------------------|---------------------|--------------------------|---------------|----------|
| Yearlings | selling Oct 10-11 | Friday, Oct 6 | 3:00 pm | Sunday, Oct 8 | 12:00 pm |

The OBS Repository will offer consignors the option of including videos of endoscopic examinations with the radiographic study. Endoscopic videos recorded prior to October 5th will not be accepted. Placing an endoscopic video in the Repository WILL NOT affect the OBS Throat Warranty. Please refer to the attached AAEP “Protocol for Pre-Sale Video Endoscopic Examination of the Upper Airway at Public Auction”. This protocol may also be found on the OBS website under the “Consignor Information” tab.

The Repository is established for consignors to deposit radiographs and other relevant information for inspection by prospective Purchasers’ registered veterinarians. The deposit and inspection of information, and access to the Repository are subject to the Conditions of Sale. Below is a summary of the Conditions of Sale concerning the Repository. (Condition Thirteenth) Please refer to the full version of the Conditions of Sale which may be found in the OBS catalog and the OBS website.

Summary of Repository Conditions (OBS Condition Thirteenth)

- Repository is voluntary
- Consignor warrants all information placed in Repository is accurate, valid and authentic or horse may be subject to return
- All information placed in the Repository is the property of the Consignor or Consignor’s veterinarian and may be picked up 72 hours after the fall of the hammer. Consignor may grant permission for the Purchaser to receive the information
- Purchasers are responsible for conducting a full inspection of horses and Repository information
- Purchasers acknowledge Veterinary Radiographic Reports are;
 - Subjective veterinary opinions
 - NOT a substitute for a review of actual radiographs
- OBS will NOT review or warrant Repository information

Consignor access to repository activity

- Consignors will be provided a unique URL to obtain Repository activity information on each horse in their consignment via the internet from a computer or mobile device.
- Consignors must complete a Consignor Authorization form to allow employees to obtain printed Repository activity reports from the Repository. (**see attachment 5**)

RULES FOR SUBMISSION

- Radiographs must not be taken any earlier than twenty one (21) days prior to the start of the sale and delivered to the Repository along with all other veterinary information by the deadlines outlined on the attached calendar. (**see attachment 1**)
- See **attachment 2** for the prescribed views for the Repository. Any submission with less than the prescribed views will not be accepted. Consignors may include additional views to augment the submission.
- Radiographs must be submitted in the format outlined by Asteris Veterinary Digital Imaging Keystone Repository software either online or directly to the OBS Repository. All other information should be labeled with the hip number, dam's name and entered horse's year of birth.
- A Veterinary Radiographic Report must be submitted in addition to the radiographs. OBS will supply Consignors with a "stamped" copy of the Report. **THIS IS THE ONLY REPORT A CONSIGNOR MAY USE IN PRESENTING INFORMATION TO A POTENTIAL PURCHASER.**
- **AFTER THE SUBMISSION DEADLINE ADDITIONAL VETERINARY INFORMATION WILL NOT BE ACCEPTED AND MUST BE ANNOUNCED FROM THE AUCTION STAND.**

RULES FOR VIEWING RADIOGRAPHS

- Each veterinarian desiring to inspect the Radiographs on behalf of their client, must register in person at the Repository by completing a Veterinarian Registration form. Once completed they will receive a user name and password valid for the current sale only. Veterinarians are required to register with OBS in person for each sale.
- The user name and password will allow veterinarians to view radiographs in the Repository as well as remotely via the Asteris website.
- **THE USER NAME AND PASSWORD ARE FOR SINGLE USERS ONLY. VETERINARIANS ARE PROHIBITED FROM SHARING THEIR USER NAME AND PASSWORD WITH ANYONE ELSE (INCLUDING INTERNS AND EXTERNS) REGARDLESS IF THEY ARE REGISTERED USERS OR NOT.**
- **For security purposes, the Repository software has tracking capabilities for the protection of the integrity of the repository process.**
- **NO PERSON MAY REMOVE, COPY OR REPRODUCE THE RADIOGRAPHS FROM THE REPOSITORY.**

SUMMARY OF OBS CONDITIONS SEVENTH AND EIGHTH

THERE IS NO LONGER A BONE WARRANTY FOR ANY HORSE WHETHER OR NOT THERE ARE RADIOGRAPHS IN THE REPOSITORY

Below is a summary of issues that must be announced, and issues that may be placed in the Repository or announced per Condition Seventh and Eighth of the Conditions of Sale. Please refer to the full version of the Conditions of Sale which may be found in the OBS catalog and the OBS website.

ANNOUNCEMENT REQUIRED whether or not the information is in the Repository (Warranty expiration in parentheses)

- Cribber (7 days)
- Ridgling, Gelding, or removal of testicle(s) (48 hours)
- Spayed filly or mare (48 hours)
- Starter's, Steward's, Veterinarian's List (45 days)
- Breeding status correction (24 hours)
- Reproductive surgeries within two years of date of sale (14 days)
 - C-Section
 - Cervix surgery
 - Removal of an ovary
 - Urethral extension

PLACED IN REPOSITORY OR Announcement allowed (Warranty expiration in parentheses)

- Impaired vision (48 hours)
- Invasive Joint Surgery: 2YO or less or unraced racing prospects, excluding horses that have raced or being sold for breeding purposes only (7 days)
- Check Ligament Surgery (48 hours)
- Throat Surgery: 2YO or less or unraced racing prospects, excluding horses that have raced or being sold for breeding purposes only (7 days)
- Abdominal Surgery within 2 years or resection at any time (7 days)
- Nerved: excluding horses sold for breeding purposes only (48 hours)

Conditions which are returnable that may NOT be announced or placed in repository (Warranty expiration in parentheses)

- Scope findings: After July 1st of a horse's yearling year, excluding horses that have raced or being sold for breeding purposes only (48 hours)
 - Epiglottic entrapment
 - Unable to fully abduct
 - Severe chondritis or deformed arytenoid
 - Sub-epiglottic cyst
 - Persistent Displacement soft palate
 - Rostral displacement palatopharyngeal arch
 - Cleft Palate
 - Other lesions which obstructs air flow
- Wobbler (7 days)
- Acute Laminitis: excluding horses sold for breeding purposes only (48 hours)
- Prohibited Practices (48 hours)
 - Shockwave
 - Acupuncture for throat function
 - Injection behind knee to conceal true conformation
 - Electrical device during training or under tack
 - Invasive practice concealing material defect or chronic lameness

Attachment 2

MINIMUM REQUIRED VIEWS

| | <u>DICOM Tag- Left</u> | <u>DICOM Tag-Right</u> |
|---|------------------------|------------------------|
| <u>CARPUS</u> | | |
| • Lateral/Medial Oblique (30° - 40°) (Dorsolateral 35°, to palmar medial oblique) | L Carpus DLPMO | R Carpus_ DLPMO |
| • Medial/Lateral Oblique (20° - 30°) (Dorsomedial 25°, to palmar lateral oblique) | L Carpus_ DMPLO | R Carpus_ DMPLO |
| • Flexed Lateral (Flexed lateral to medial) | L Carpus_FLM | R Carpus_ FLM |
| • Third Carpal Skyline View (2YO Only) | L Carpus_Sky_DRow | R Carpus_ _Sky__DRow |
| <u>FETLOCK</u> | | |
| FRONT: | | |
| • AP elevated 15° (Dorsal, 15° elevated, to palmar) | L FFetlock_ DP | R FFetlock DP |
| • Medial Oblique (Dorsomedial 30°, to palmar lateral oblique) | L FFetlock_ DMPLO | R FFetlock_ DMPLO |
| • Lateral Oblique (Dorsolateral 30°, to palmar medial oblique) | L FFetlock_ DLPMO | R FFetlock_ DLPMO |
| • Lateral (Flexed lateral to medial) | L FFetlock_ FLM | R FFetlock_ FLM |
| • Lateral (Standing lateral to medial) | L FFetlock_ SLM | R FFetlock_ SLM |
| HIND: | | |
| • AP elevated 15° (Dorsal, 15° elevated, to plantar) | L HFetlock_ DP | R HFetlock_ DP |
| • Medial Oblique (Dorsomedial 30°, 15° elevated to plantar lateral oblique) | L HFetlock_ DMPLO | R HFetlock_ DMPLO |
| • Lateral Oblique (Dorsolateral 30°, 15° to palmar medial oblique) | L HFetlock_ DLPMO | R HFetlock_ DLPMO |
| • Standing Lateral to medial | L HFetlock_ LM | R HFetlock_ LM |
| NOTE: obliques should be elevated slightly to separate the sesamoid and P1 interface | | |
| <u>HOCK</u> | | |
| • Medial/Lateral Oblique (or 15° PALMO) (Dorsomedial 65° to plantar lateral oblique) -or- (Plantaro-lateral 25° to dorsomedial oblique) | L Hock_ DMPLO | R Hock_ DMPLO |
| • Off Center AP (Slightly Lateral) (Dorsolateral 10° to plantar medial) | L Hock_ CrCa | R Hock_ CrCa |
| • Lateral to Medial | L Hock_ LM | R Hock_ LM |
| <u>STIFLE</u> | | |
| • Lateral to Medial | L Stifle_ LM | R Stifle_ LM |
| • 20° PALMO (Posterior lateral 20° to anterior medial oblique must include medial femoral condyle in its entirety) | L Stifle_ CaLCrMO | R Stifle_ CaLCrMO |
| • PA (Posterior/Anterior) | L Stifle_ PA | R Stifle_ PA |

Attachment 5

CONSIGNOR AUTHORIZATION FORM

SALE _____

Consignor: _____

Address: _____

_____ Phone: _____

_____ email: _____

Please initial only if you wish to confirm the following:

_____ I hereby instruct OBS to restrict access to Repository information on the horses in my consignment to Licensed veterinarians.

_____ I hereby authorize OBS to give to the Purchaser of a horse from my consignment the radiographs in the Repository.

Please identify Consignor Representatives below that may have access to one or both of the following:

Name _____

_____ I authorize the above person to receive the activity of our files in the Repository.

_____ I authorize the above person to pick up the information placed in the Repository (radiographs, certificates, etc.) on horses in my consignment no sooner than 72 hours after horse is sold.

Name _____

_____ I authorize the above person to receive the activity of our files in the Repository.

_____ I authorize the above person to pick up the information placed in the Repository (radiographs, certificates, etc.) on horses in my consignment no sooner than 72 hours after horse is sold.

Consignor's Signature _____

Date _____

These forms are available in the Repository and on the OBS website. Please return this completed form to the Repository.



PROTOCOL FOR PRE-SALE VIDEOENDOSCOPIC EXAMINATION OF THE UPPER AIRWAY AT PUBLIC AUCTION

- Equipment must be capable of producing a digital video image of excellent quality.
- Horse must be identified appropriately, and that identification must be in digital format with character generation on the screen, and / or video of the catalog page, followed by unbroken video of the face prior to introduction of the scope into the nares (right or left) and up to the larynx. This is a single stream video with no editing.
- The veterinarian performing the video endoscopy may upload the video at the same time radiographs are uploaded to the OBS repository. Veterinarians or the consignor may also submit each upper airway video on an individual flash drive or CD. Upper airway videos should be stored in a file separate from the radiographs.
- Standard technique must include maximal abduction of arytenoids induced by swallowing (multiple times) and nasal occlusion. The duration of the video must be sufficient to identify all laryngeal and pharyngeal structures as well as observing their maximal function within the context of a resting exam.
- The interval between the pre-sale videoendoscopic exam and the selling session will not exceed (10) days.



Ocala Breeders' Sales Company

P.O. Box 99 • Ocala, FL 34478 • 1701 SW 60th Ave • Ocala, FL 34474
Tel. (352) 237-2154 • Fax (352) 237-3566 • www.obssales.com • email: obs@obssales.com

OBS VACCINATION FORM

Sale _____ Consignor: _____

Horse(s) represented on this certificate of Veterinary Inspection:

- Have been vaccinated for EEE/WEE/WNV, Rabies and Tetanus within the prior 12 months
- Have been vaccinated against Rhinopneumonitis no less than 14 days and no greater than 90 days.
- Have not originated from a barn with a confirmed or suspect case of equine herpes virus or strangles, nor shown signs suggestive of these diseases, nor have been febrile within the previous 3 weeks.
- Have not originated from nor been stabled on a premise under quarantine or restriction due to EHV-1 or from a premise which has had herpes virus diagnosed during the previous 30 day period.

| <u>Hip #</u> | <u>Name or Sire/Dam</u> | <u>EHV-1/Rhino</u> <small>Product Name/Lot #</small> | <u>EEE/WEE/WNV</u> <small>Product Name/Lot #</small> | <u>Rabies</u> <small>Product Name/Lot #</small> | <u>Tetanus</u> <small>Product Name/Lot #</small> | <u>Date</u> |
|--------------|-------------------------|---|---|--|---|-------------|
| _____ | _____ | _____ | _____ | _____ | _____ | _____ |
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| _____ | _____ | _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ | _____ | _____ |

Veterinarian _____ Printed Name _____ Date _____